against unauthorized disclosure in accordance with the requirements in §73.21 and the requirements of §73.22 or §73.23 of this chapter, as applicable.

 $[26\ FR\ 284,\ Jan.\ 14,\ 1961,\ as\ amended\ at\ 31\ FR\ 15145,\ Dec.\ 2,\ 1966;\ 45\ FR\ 65531,\ Oct.\ 3,\ 1980;\ 48$ FR 32328, July 15, 1983; 52 FR 1295, Jan.\ 12, 1987; 57 FR 18391,\ Apr.\ 30,\ 1992;\ 73\ FR\ 63571,\ Oct.\ 24,\ 2008]

§ 40.42 Expiration and termination of licenses and decommissioning of sites and separate buildings or outdoor areas.

- (a) Each specific license expires at the end of the day on the expiration date stated in the license unless the licensee has filed an application for renewal under §40.43 not less than 30 days before the expiration date stated in the existing license. If an application for renewal has been filed at least 30 days before the expiration date stated in the existing license, the existing license expires at the end of the day on which the Commission makes a final determination to deny the renewal application or, if the determination states an expiration date, the expiration date stated in the determination.
- (b) Each specific license revoked by the Commission expires at the end of the day on the date of the Commission's final determination to revoke the license, or on the expiration date stated in the determination, or as otherwise provided by Commission Order.
- (c) Each specific license continues in effect, beyond the expiration date if necessary, with respect to possession of source material until the Commission notifies the licensee in writing that the license is terminated. During this time, the licensee shall—
- (1) Limit actions involving source material to those related to decommissioning; and
- (2) Continue to control entry to restricted areas until they are suitable for release in accordance with NRC requirements;
- (d) Within 60 days of the occurrence of any of the following, consistent with the administrative directions in §40.5, each licensee shall provide notification to the NRC in writing and either begin decommissioning its site, or any separate building or outdoor area that contains residual radioactivity, so that

the building or outdoor area is suitable for release in accordance with NRC requirements, or submit within 12 months of notification a decommissioning plan, if required by paragraph (g)(1) of this section, and begin decommissioning upon approval of that plan if—

- (1) The license has expired pursuant to paragraph (a) or (b) of this section;
- (2) The licensee has decided to permanently cease principal activities, as defined in this part, at the entire site or in any separate building or outdoor area: or
- (3) No principal activities under the license have been conducted for a period of 24 months; or
- (4) No principal activities have been conducted for a period of 24 months in any separate building or outdoor area that contains residual radioactivity such that the building or outdoor area is unsuitable for release in accordance with NRC requirements.
- (e) Coincident with the notification required by paragraph (d) of this section, the licensee shall maintain in effect all decommissioning financial assurances established by the licensee pursuant to §40.36 in conjunction with a license issuance or renewal or as required by this section. The amount of the financial assurance must be increased, or may be decreased, as appropriate, to cover the detailed cost estimate for decommissioning established pursuant to paragraph (g)(4)(v) of this section.
- (1) Any licensee who has not provided financial assurance to cover the detailed cost estimate submitted with the decommissioning plan shall do so when this rule becomes effective November 24, 1995.
- (2) Following approval of the decommissioning plan, a licensee may reduce the amount of the financial assurance as decommissioning proceeds and radiological contamination is reduced at the site with the approval of the Commission.
- (f) The Commission may grant a request to delay or postpone initiation of the decommissioning process if the Commission determines that such relief is not detrimental to the public health and safety and is otherwise in

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the public interest. The request must be submitted no later than 30 days before notification pursuant to paragraph (d) of this section. The schedule for decommissioning set forth in paragraph (d) of this section may not commence until the Commission has made a determination on the request.

- (g)(1) A decommissioning plan must be submitted if required by license condition or if the procedures and activities necessary to carry out decommissioning of the site or separate building or outdoor area have not been previously approved by the Commission and these procedures could increase potential health and safety impacts to workers or to the public, such as in any of the following cases:
- (i) Procedures would involve techniques not applied routinely during cleanup or maintenance operations;
- (ii) Workers would be entering areas not normally occupied where surface contamination and radiation levels are significantly higher than routinely encountered during operation:
- (iii) Procedures could result in significantly greater airborne concentrations of radioactive materials than are present during operation; or
- (iv) Procedures could result in significantly greater releases of radioactive material to the environment than those associated with operation.
- (2) The Commission may approve an alternate schedule for submittal of a decommissioning plan required pursuant to paragraph (d) of this section if the Commission determines that the alternative schedule is necessary to the effective conduct of decommissioning operations and presents no undue risk from radiation to the public health and safety and is otherwise in the public interest.
- (3) The procedures listed in paragraph (g)(1) of this section may not be carried out prior to approval of the decommissioning plan.
- (4) The proposed decommissioning plan for the site or separate building or outdoor area must include:
- (i) A description of the conditions of the site or separate building or outdoor area sufficient to evaluate the acceptability of the plan;
- (ii) A description of planned decommissioning activities;

- (iii) A description of methods used to ensure protection of workers and the environment against radiation hazards during decommissioning;
- (iv) A description of the planned final radiation survey; and
- (v) An updated detailed cost estimate for decommissioning, comparison of that estimate with present funds set aside for decommissioning, and a plan for assuring the availability of adequate funds for completion of decommissioning.
- (vi) For decommissioning plans calling for completion of decommissioning later than 24 months after plan approval, a justification for the delay based on the criteria in paragraph (i) of this section.
- (5) The proposed decommissioning plan will be approved by the Commission if the information therein demonstrates that the decommissioning will be completed as soon as practicable and that the health and safety of workers and the public will be adequately protected.
- (h)(1) Except as provided in paragraph (i) of this section, licensees shall complete decommissioning of the site or separate building or outdoor area as soon as practicable but no later than 24 months following the initiation of decommissioning.
- (2) Except as provided in paragraph (i) of this section, when decommissioning involves the entire site, the licensee shall request license termination as soon as practicable but no later than 24 months following the initiation of decommissioning.
- (i) The Commission may approve a request for an alternate schedule for completion of decommissioning of the site or separate building or outdoor area, and license termination if appropriate, if the Commission determines that the alternative is warranted by consideration of the following:
- (1) Whether it is technically feasible to complete decommissioning within the allotted 24-month period;
- (2) Whether sufficient waste disposal capacity is available to allow completion of decommissioning within the allotted 24-month period;
- (3) Whether a significant volume reduction in wastes requiring disposal

will be achieved by allowing short-lived radionuclides to decay;

- (4) Whether a significant reduction in radiation exposure to workers can be achieved by allowing short-lived radionuclides to decay; and
- (5) Other site-specific factors which the Commission may consider appropriate on a case-by-case basis, such as the regulatory requirements of other government agencies, lawsuits, groundwater treatment activities, monitored natural ground-water restoration, actions that could result in more environmental harm than deferred cleanup, and other factors beyond the control of the licensee.
- (j) As the final step in decommissioning, the licensee shall—
- (1) Certify the disposition of all licensed material, including accumulated wastes, by submitting a completed NRC Form 314 or equivalent information; and
- (2) Conduct a radiation survey of the premises where the licensed activities were carried out and submit a report of the results of this survey, unless the licensee demonstrates in some other manner that the premises are suitable for release in accordance with the criteria for decommissioning in 10 CFR part 20, subpart E or, for uranium milling (uranium and thorium recovery) facilities, Criterion 6(6) of Appendix A to this part. The licensee shall, as appropriate—
- (i) Report levels of gamma radiation in units of millisieverts (microroentgen) per hour at one meter from surfaces, and report levels of radioactivity, including alpha and beta, in units of megabecquerels (disintegrations per minute or microcuries) per 100 square centimeters removable and fixed for surfaces, megabecquerels (microcuries) per milliliter for water, and becquerels (picocuries) per gram for solids such as soils or concrete; and
- (ii) Specify the survey instrument(s) used and certify that each instrument is properly calibrated and tested.
- (k) Specific licenses, including expired licenses, will be terminated by written notice to the licensee when the Commission determines that:
- (1) Source material has been properly disposed;

- (2) Reasonable effort has been made to eliminate residual radioactive contamination, if present; and
- (3)(i) A radiation survey has been performed which demonstrates that the premises are suitable for release in accordance with the criteria for decommissioning in 10 CFR part 20, subpart E or, for (uranium and thorium recovery) facilities, Criterion 6(6) of Appendix A to this part; or
- (ii) Other information submitted by the licensee is sufficient to demonstrate that the premises are suitable for release in accordance with the criteria for decommissioning in 10 CFR part 20, subpart E or, for uranium milling (uranium and thorium recovery) facilities, Criterion 6(6) of Appendix A to this part.
- (4) Records required by §40.61 (d) and (f) have been received.
- (1) Specific licenses for uranium and thorium milling are exempt from paragraphs (d)(4), (g) and (h) of this section with respect to reclamation of tailings impoundments and/or waste disposal

[59 FR 36035, July 15, 1994, as amended at 60 FR 38239, July 26, 1995; 61 FR 1114, Jan. 16, 1996; 61 FR 24674, May 16, 1996; 61 FR 29637, June 12, 1996; 62 FR 39090, July 21, 1997; 66 FR 64738, Dec. 14, 2001; 68 FR 75390, Dec. 31, 2003; 73 FR 42674, July 23, 2008]

§ 40.43 Renewal of licenses.

Application for renewal of a specific license must be filed on NRC Form 313 and in accordance with §40.31.

[75 FR 73943, Nov. 30, 2010]

§ 40.44 Amendment of licenses at request of licensee.

Applications for amendment of a license shall be filed on NRC Form 313 in accordance with §40.31 and shall specify the respects in which the licensee desires the license to be amended and the grounds for such amendment.

[49 FR 19627, May 9, 1984, as amended at 56 FR 40768, Aug. 16, 1991]

§ 40.45 Commission action on applications to renew or amend.

In considering an application by a licensee to renew or amend his license